Expressivity within second-order transitive-closure logic

Jonni Virtema

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Fransitive closure

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MSO(TC) and counting

Order invariant MSO

Transitive closure

The transitive closure TC(R) of a binary relation $R \subseteq A \times A$ is defined as follows

 $\begin{aligned} \mathrm{TC}(R) &:= \{ (a,b) \in A \times A \mid \exists n > 0 \text{ and } e_0, \dots, e_n \in A \\ & \text{ such that } a = e_0, \ b = e_n, \text{ and } (e_i, e_{i+1}) \in R \text{ for all } i < n \}. \end{aligned}$

In our setting A is set of tuples (a_1, \ldots, a_n) , where each a_i is either an *element* or a *relation* over some domain D.

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Example

A graph G = (V, E) has a Hamiltonian cycle if the following holds: 1. There is a relation \mathcal{R} such that

 $(Z, z, Z', z') \in \mathcal{R}$ iff $Z' = Z \cup \{z'\}, z' \notin Z$ and $(z, z') \in E$.

2. The tuple $(\{x\}, x, V, y)$ is in the transitive closure of \mathcal{R} , for some $x, y \in V$ such that $(y, x) \in E$.

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First-order transitive closure logic FO(TC):

$$\varphi ::= x = y \mid X(x_1, \ldots, x_k) \mid \neg \varphi \mid (\varphi \lor \varphi) \mid \exists x \varphi \mid [\mathrm{TC}_{\vec{x}, \vec{x'}} \varphi](\vec{y}, \vec{y'}),$$

where \vec{x} , $\vec{x'}$, \vec{y} , and $\vec{y'}$ are tuples of first-order variables of the same length. Semantics for the TC operator:

$$\mathfrak{A}\models_{s} [\mathrm{TC}_{\vec{x},\vec{x'}}\varphi](\vec{y},\vec{y'}) \text{ iff } (s(\vec{y}),s(\vec{y'})) \in \mathrm{TC}(\{(\vec{a},\vec{a'}) \mid \mathfrak{A}\models_{s(\vec{x}\mapsto\vec{a},\vec{x'}\mapsto\vec{a'})}\varphi\})$$

Example

The sentence

$$\forall x \forall y [\mathrm{TC}_{z,z'} E(z,z')](x,y)$$

expresses connectivity of graphs (V, E).

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Second-order transitive closure logic SO(TC):

$$\varphi ::= x = y \mid X(x_1, \ldots, x_k) \mid \neg \varphi \mid (\varphi \lor \varphi) \mid \exists x \varphi \mid \exists Y \varphi \mid [\operatorname{TC}_{\vec{X}, \vec{X'}} \varphi](\vec{Y}, \vec{Y'}),$$

where \vec{X} , $\vec{X'}$, \vec{Y} , and $\vec{Y'}$ are tuples of first-order and second-order variables of the same length and sort.

Semantics for the \mathbf{TC} operator:

 $\mathfrak{A}\models_{s} [\operatorname{TC}_{\vec{X},\vec{X'}}\varphi](\vec{Y},\vec{Y'}) \text{ iff } (s(\vec{Y}),s(\vec{Y'})) \in \operatorname{TC}(\{(\vec{A},\vec{B'}) \mid \mathfrak{A}\models_{s(\vec{X}\mapsto\vec{A},\vec{X'}\mapsto\vec{A'})}\varphi\})$

 $\mathrm{MSO(TC)}$ is the fragment of $\mathrm{SO(TC)}$ in which all second-order variables have arity 1.

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The Härtig quantifier

$$\begin{split} \mathfrak{A} \models_{s} \mathrm{Hxy}(\varphi(x), \psi(y)) \Leftrightarrow \text{the sets } \{ a \in A \mid \mathfrak{A} \models_{s(x \mapsto a)} \varphi(x) \} \text{ and} \\ \{ b \in A \mid \mathfrak{A} \models_{s(y \mapsto b)} \psi(y) \} \text{ have the same cardinality} \end{split}$$

Example (The Härtig quantifier can be expressed in MSO(TC).)

Let $\psi_{\text{decrement}}$ denote an FO-formula expressing that $s(X') = s(X) \setminus \{a\}$ and $s(Y') = s(Y) \setminus \{b\}$ for some *a* and *b*. Define

 $\psi_{\mathrm{ec}} := \exists X_{\emptyset} \big(\big(\forall x \neg X_{\emptyset}(x) \big) \land [\mathrm{TC}_{X,Y,X',Y'} \psi_{\mathrm{decrement}}](Z,Z',X_{\emptyset},X_{\emptyset}) \big).$

Now ψ_{ec} holds under s if and only if the cardinalities of s(Z) and s(Z') are the same. Therefore $\operatorname{Hxy}(\varphi(x), \psi(y))$ is equivalent with the formula

 $\exists Z \exists Z' (\forall x (\varphi(x) \leftrightarrow Z(x)) \land \forall y (\psi(y) \leftrightarrow Z'(y)) \land \psi_{\rm ec})$

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Hamiltonian cycle

Example

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The tuple ({x}, x, V, y) is in the transitive closure of *R*, for some x, y ∈ V such that (y, x) ∈ E.

In the language of MSO(TC) this can be written as follows:

 $\exists XYxy(X(x) \land \forall z(z \neq x \to \neg X(x)) \land \forall z(Y(z)) \land E(y,x) \land [\operatorname{TC}_{Z,z,Z',z'} \varphi](X,x,Y,y)$

where $\varphi := \neg Z(z') \land \forall x (Z'(x) \leftrightarrow (Z(x) \lor z' = x)) \land E(z, z').$

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Descriptive complexity

Theorem (Harel and Peleg 84)

SO(TC) captures polynomial space PSPACE.

Theorem (Immerman 87)

- ► On finite ordered structures, first-order transitive-closure logic FO(TC) captures nondeterministic logarithmic space NLOGSPACE.
- On strings (word structures), SO(arity k)(TC) captures the complexity class NSPACE(n^k).

In particular, on strings MSO(TC) captures nondeterministic linear space NLIN.

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Existential positive SO(2TC)

 $\exists SO(2TC)$ is the syntactic fragment of SO(TC) in which

- 1. the existential quantifiers and the TC-operators occur only positively.
- 2. TC-operators bound only second-order variables.

Rosen noted (99) that \exists SO collapses to existential first-order logic \exists FO.

Theorem

The expressive powers of \exists SO(2TC) and \exists FO coincide.

Proof.

$$[\mathrm{TC}_{\vec{X},\vec{X'}}\exists x_1\ldots \exists x_n\theta](\vec{Y},\vec{Y'}) \text{ and } \mathfrak{A} \models [\mathrm{TC}_{\vec{X},\vec{X'}}^k\exists x_1\ldots \exists x_n\theta](\vec{Y},\vec{Y'}),$$

where θ is quantifier free FO-formula, are equivalent for large enough k. (Note that k independent of the model in question and depends only on the formula.) Expressivity within second-order transitive-closure logic

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The *corridor tiling problem* is the following PSPACE-complete decision problem (Chlebus 86): **Input:** An instance $P = (T, H, V, \vec{b}, \vec{t}, n)$ of the corridor tiling problem. **Output:** Does there exist a corridor tiling for *P*? (Does there exists a tiling of width *n* having \vec{b} as the first row and \vec{t} as the last row?) Expressivity within second-order transitive-closure logic

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Complexity of model checking

Theorem

Combined complexity of model checking for monadic $2TC[\forall FO]$ is PSPACE-complete.

Proof.

Hardness follows from a reduction from corridor tiling. Input: $(T, H, V, \vec{b}, \vec{t})$. Let *s* be a successor relation on $\{0, 1, ..., n\}$ and $X_1, ..., X_k, Y_1, ..., Y_k$ monadic second-order variables that correspond to tile types.

$$\begin{split} \varphi_{H} &:= \forall xy \big(s(x,y) \to \bigvee_{(i,j) \in H} Z'_{i}(x) \land Z'_{j}(y) \big), \quad \varphi_{V} &:= \forall x \bigvee_{(i,j) \in V} Z_{i}(x) \land Z'_{j}(x) \\ \varphi_{T} &:= \forall x \bigvee_{i \in T} \big(Z'_{i}(x) \land \bigwedge_{j \in T, i \neq j} \neg Z'_{j}(x) \big), \end{split}$$

The formula $\operatorname{TC}_{\vec{Z},\vec{Z'}}[\varphi_T \wedge \varphi_H \wedge \varphi_V](\vec{X},\vec{Y})$ describes proper tiling.

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MSO(TC) and counting

- Assume a supply of *counter variables* μ and ν (with subscripts). Counters range over {0,..., n}, where n is the cardinality the model.
- Assume a supply of *k*-ary numeric predicates $p(\mu_1, \ldots, \mu_k)$.
 - Intuitively relations over natural numbers such as the tables of multiplication and addition.
 - ► Technically similar to generalised quantifiers; a k-ary numeric predicate is a class Q_p ⊆ N^{k+1} of k + 1-tuples of natural numbers.
 - ▶ When evaluating a k-ary numeric predicate p(µ1,...,µk), the numeric predicate Qp accesses also the cardinality of the structure in question.

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MSO(TC) and counting

Definition

The syntax of CMSO(TC) extends the syntax of MSO(TC) as follows:

 $\varphi ::= (\mu = \#\{x : \varphi\}) \mid p(\mu_1, \dots, \mu_k) \mid \exists \mu \varphi \mid [\operatorname{TC}_{\vec{X}, \vec{X'}} \varphi](\vec{Y}, \vec{Y'}),$

where \vec{X} , $\vec{X'}$, \vec{Y} , and $\vec{Y'}$ may also include counter variables.

Semantics:

 $\mathfrak{A} \models_{s} \mu = \#\{x : \varphi\} \text{ iff } s(\mu) \text{ equals the cardinality of } \{a \in A \mid \mathfrak{A} \models_{s(x \mapsto a)} \varphi\}.$ $\mathfrak{A} \models_{s} p(\mu_{1}, \dots, \mu_{k}) \text{ iff } (|A|, s(\mu_{1}), \dots, s(\mu_{k})) \in Q_{p}$ $\mathfrak{A} \models_{s} \exists \mu \varphi \text{ iff there exists } i \in \{0, \dots, n\} \text{ such that } \mathfrak{A} \models_{s(\mu \mapsto i)} \varphi.$ Expressivity within second-order transitive-closure logic

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Counting in NLOGSPACE

Definition

A *k*-ary numeric predicate Q_p is *decidable in* NLOGSPACE if the membership $(n_0, \ldots, n_k) \in Q_p$ can be decided by a nondeterministic Turing machine that uses logarithmic space when the numbers n_0, \ldots, n_k are given in unary. Note that this is equivalent to linear space when n_0, \ldots, n_k are given in binary.

We restrict to numeric predicates that are decidable in NLOGSPACE.

Example

Let k be a natural number, $X, Y, Z, X_1, \ldots, X_n$ monadic second-order variables. The following numeric predicates are clearly NLOGSPACE-definable:

- $\mathfrak{A} \models_{s} \operatorname{size}(X, k)$ iff |s(X)| = k,
- $\blacktriangleright \mathfrak{A} \models_{s} \times (X, Y, Z) \text{ iff } |s(X)| \times |s(Y)| = |s(Z)|,$
- $\blacktriangleright \mathfrak{A} \models_{s} + (X_1, \ldots, X_n, Y) \text{ iff } |s(X_1)| + \cdots + |s(X_n)| = |s(Y)|.$

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- $\mathfrak{A} \models_s + (X_1, \ldots, X_n, Y)$ iff $|s(X_1)| + \cdots + |s(X_n)| = |s(Y)|$.

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Counting in NLOGSPACE

Proposition (Immerman 87)

For every k-ary numeric predicate Q_p decidable in NLOGSPACE there exists a formula φ_p of FO(TC) over $\{s, x_1, \ldots, x_k\}$,

$$\mathfrak{A}\models_{s} p(\mu_{1},\ldots,\mu_{k}) \text{ iff } \mathfrak{B}\models_{t} \varphi_{p},$$

where $B = \{0, 1, ..., |A|\}$, t(s) is the successor relation of B, and $t(x_i) = s(\mu_i)$, for $1 \le i \le k$.

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MSO(TC) (without order) simulates FO(TC) with order

The idea is that natural numbers *i* are simulated by sets of cardinality *i*. Recall that MSO(TC) can express the Härtig quantifier!

The translation $^+$: FO(TC) \rightarrow MSO(TC) is defined as follows

- For ψ of the form $x_i = x_j$, define $\psi^+ := \operatorname{Hxy}(X_i(x), X_j(y))$.
- For ψ of the form $s(x_i, x_j)$, define

 $\psi^+ := \exists z \Big(\neg X_i(z) \land \operatorname{Hxy} \big(X_i(x) \lor x = z, X_j(y) \big) \Big).$

- For ψ of the form $\exists x_i \varphi$, define $\psi^+ := \exists X_i \varphi^+$.
- For ψ of the form $[\mathrm{TC}_{\vec{x},\vec{x'}}\varphi](\vec{y},\vec{y'})$, define $\psi^+ := [\mathrm{TC}_{\vec{x},\vec{x'}}\varphi^+](\vec{Y},\vec{Y'})$.

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- For ψ of the form $s(x_i, x_j)$, define

 $\psi^+ := \exists z \Big(\neg X_i(z) \land \operatorname{Hxy} \big(X_i(x) \lor x = z, X_j(y) \big) \Big).$

- For ψ of the form $\exists x_i \varphi$, define $\psi^+ := \exists X_i \varphi^+$.
- ► For ψ of the form $[TC_{\vec{x},\vec{x'}}\varphi](\vec{y},\vec{y'})$, define $\psi^+ := [TC_{\vec{X},\vec{X'}}\varphi^+](\vec{Y},\vec{Y'})$.

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MSO(TC) simulates CMSO(TC)

In MSO(TC) counter variables are treated as set variables. Define a translation $* : CMSOTC \rightarrow MSO(TC)$.

- For an NLOGSPACE numeric predicate Q_p and ψ of the form p(μ₁,...,μ_k), define ψ^{*} as φ⁺_p(μ₁/X₁,...,μ_k/X_k), where ⁺ is the translation defined above and φ_p the defining FO(TC) formula of Q_p.
- For ψ of the form $\mu = \#\{x \mid \varphi\}$, ψ^* is $Hxy(\varphi^*, \mu(y))$.
- For ψ of the form $\exists \mu_i \varphi$, define ψ^* as $\exists \mu_i \varphi^*$.

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Order invariant MSO

A formula $\varphi \in MSO$ over τ_{\leq} is *order-invariant*, if for every τ -structure \mathfrak{A} and expansions \mathfrak{A}' and \mathfrak{A}^* of \mathfrak{A} to the vocabulary τ_{\leq} , in which $\leq^{\mathfrak{A}'}$ and $\leq^{\mathfrak{A}^*}$ are linear orders of A, we have that

 $\mathfrak{A}'\models \varphi$ if and only if $\mathfrak{A}^*\models \varphi$.

A class C of τ -structures is *definable in order-invariant* MSO if and only if the class

 $\{(\mathfrak{A},\leq) \mid \mathfrak{A} \in \mathcal{C} \text{ and } \leq \text{ is a linear order of } A\}$

is definable by some order-invariant MSO-formula.

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Transitive closure FO(TC) & SO(TC) Examples

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Example

Consider the class

 $\mathcal{C} = \{\mathfrak{A} \mid |A| \text{ is a prime number}\}$

of \emptyset -structures. The language of prime length words over some unary alphabet is not regular and thus it follows via Büchi's theorem that C is not definable in order-invariant MSO. However the following formula of MSO(TC) defines C.

$$\exists X \forall Y \forall Z \big(\forall x (X(x)) \land (\operatorname{size}(Y,1) \lor \operatorname{size}(Z,1) \lor \neg \times (Y,Z,X)) \big) \land \exists x \exists y \neg x = y.$$

Corollary

For any vocabulary τ , there exists a class C of τ -structures such that C is definable in MSO(TC) but it is not definable in order-invariant MSO.

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Theorem

Over finite unary vocabularies MSO(TC) is strictly more expressive than order-invariant MSO.

Proof.

The proof is based on Parikh's Theorem (66): For every regular language L its Parikh image (letter count) P(L) is a finite union of linear sets.

A subset S of \mathbb{N}^k is a *linear set* if

$$S = \{\vec{v}_0 + \sum_{i=1}^m a_i \vec{v}_i \mid a_1, \dots, a_m \in \mathbb{N}\}$$

for some offset $ec{v}_0 \in \mathbb{N}^k$ and generators $ec{v}_1, \dots, ec{v}_m \in \mathbb{N}^k$.

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Open question

- Does the exists a formula of least fixed point logic LFP that is not expressible in MSO(TC). On ordered structures, this would show that there are problems in P that are not in NLIN, which is open (it is only know that the two classes are different).
- ► Note that EVEN is definable in MSO(TC) but not in LFP (over empty vocabulary).
- What is the relationship of MSO(TC) and order-invariant MSO over vocabularies of higher arity?

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Happy Birthday Lauri!



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Open questions

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